

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1>FEE TRANSMITTAL</h1> <h2>for FY 2001</h2> <p><i>Patent fees are subject to annual revision.</i></p>		Complete if Known	
		Application Number	09/368,503
		Filing Date	August 5, 1999
		First Named Inventor	LARREN F. JONES
		Examiner Name	V. Batson
Group / Art Unit		3671	OCT 5 2001
TOTAL AMOUNT OF PAYMENT (\$)		152	Attorney Docket No. 51291.81516

METHOD OF PAYMENT (check one)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	
Deposit Account Number	19-0733
Deposit Account Name	
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	
2. <input type="checkbox"/> Payment Enclosed:	
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other	

FEE CALCULATION					
1. BASIC FILING FEE					
Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					(\$ 0)

2. EXTRA CLAIM FEES					
Total Claims	118	-114**	= 4	X	18 = 72
Independent Claims	27	-26**	= 1	X	80 = 80
Multiple Dependent				X	= 0
Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$ 152)

FEE CALCULATION (continued)						
3. ADDITIONAL FEES	Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65		Surcharge - late filing fee or oath	
127	50	227	25		Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130		Non-English specification	
147	2,520	147	2,520		For filing a request for reexamination	
112	920*	112	920*		Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action	
115	110	215	55		Extension for reply within first month	
116	390	216	195		Extension for reply within second month	
117	890	217	445		Extension for reply within third month	
118	1,390	218	695		Extension for reply within fourth month	
128	1,890	228	945		Extension for reply within fifth month	
119	310	219	155		Notice of Appeal	
120	310	220	155		Filing a brief in support of an appeal	
121	270	221	135		Request for oral hearing	
138	1,510	138	1,510		Petition to institute a public use proceeding	
140	110	240	55		Petition to revive - unavoidable	
141	1,240	241	620		Petition to revive - unintentional	
142	1,240	242	620		Utility issue fee (or reissue)	
143	440	243	220		Design issue fee	
144	600	244	300		Plant issue fee	
122	130	122	130		Petitions to the Commissioner	
123	130	123	130		Petitions related to provisional applications	
126	180	126	180		Submission of Information Disclosure Stmt	
581	40	581	40		Recording each patent assignment per property (times number of properties)	
146	710	246	355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355		For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355		Request for Continued Examination (RCE)	
169	900	169	900		Request for expedited examination of a design application	
Other fee (specify) _____						
*Reduced by Basic Filing Fee Paid					SUBTOTAL (3)	(\$)

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	STEVEN P. SCHAD	Registration No. Attorney/Agent)	32,550	Telephone	202 508-9136
Signature	[Signature] (Reg No 34,373)			Date	October 2, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED

OCT 5 2001

3600 MAIL ROOM

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

LARREN F. JONES ET AL.

SERIAL NO: 09/368,503

FILED: AUGUST 5, 1999

FOR: WEAR ASSEMBLY FOR A DIGGING
EDGE OF AN EXCAVATOR

:
:
:
:
:
:
:
:

ATTY DKT NO.: 51291.81516

GROUP ART UNIT: 3671

EXAMINER: V. BATSON

PRELIMINARY AMENDMENT FOLLOWING FILING OF RCE

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicants have noted that the Amendment filed September 5, 2001 with the Request for Continued Examination lacked underlining for the newly submitted claims and a notation for the underlying support for these claims pursuant to 37 C.F.R. §1.173(c). In view of these shortcomings, this Amendment is submitting this Amendment as a replacement for the noted previous Amendment. In addition to the procedural changes made in this Amendment, claims 115-118 have been added and a few of the claims added before (i.e., claims 74-114) have been slightly modified. Therefore, prior to continuing the examination following the filing of the RCE, please amend the above-identified application as follows.

RECEIVED
TECHNOLOGY CENTER 3600
01 OCT -2 PM 4:47

Hd/Pre
C
10/10/01